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Palma di Mallorca (seminar: Wednesday, May 30, 2007, 14.30)

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**Can we get observable, real spectra from  
non-Hermitian Hamiltonians?**

or what should we all know about

pseudo-Hermitian models in quantum mechanics

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A.  
MOTIVATION

- *Let's ask: Do there exist non-Hermitian operators with real spectra?*

– *The answer “Of course they do!” is easy.*

**a non-Hermitian spin-model illustrative prelude:**

$$\begin{pmatrix} s & b \\ -b & l \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = E \begin{pmatrix} \phi \\ \chi \end{pmatrix}$$

shift:  $s = -1, l = 1,$

get:  $E = E_{\pm} = \sqrt{1 - b^2},$

set:  $b = \cos \alpha = \sqrt{1 - E^2}$

- *But let's further ask: do they also find applications in quantum (and not only in quantum) physics?*

*– the answer “Of course they do!” survives*

## proof: the famous Klein-Gordon equation

$$(i \partial_t)^2 \Psi^{(KG)}(x, t) = \hat{H}^{(KG)} \Psi^{(KG)}(x, t)$$

with abbreviation

$$\varphi_1^{(PB)}(x, t) = i \partial_t \Psi^{(KG)}(x, t), \quad \varphi_2^{(PB)}(x, t) = \Psi^{(KG)}(x, t)$$

has the manifestly non-Hermitian Hamiltonian form,

$$i \partial_t \begin{pmatrix} \varphi_1^{(PB)}(x, t) \\ \varphi_2^{(PB)}(x, t) \end{pmatrix} = \hat{h}^{(PB)} \begin{pmatrix} \varphi_1^{(PB)}(x, t) \\ \varphi_2^{(PB)}(x, t) \end{pmatrix}, \quad \hat{h}^{(PB)} = \begin{pmatrix} 0 & \hat{H}^{(KG)} \\ 1 & 0 \end{pmatrix}.$$

*Summarizing: non-Hermitian operators  $H \neq H^\dagger$*

- *can generate real spectra,*
- *do find applications in physics.*

*OK - today, I'll detail these two answers a bit.*

## **A. I. Some more physics:**

### **Quantization under relativistic kinematics**

- problems of physics with Klein-Gordon equation (indefinite norm)
- mathematics of Klein-Gordon equation (pseudo-Hermitian Hamiltonians)
- parallels with the Wheeler-De-Witt equation (cosmology)
- problems of physics with spin (unbounded Dirac's spectrum)
- strongly attractive Coulomb field (emergence of new degrees of freedom)
- problems in field theory (Gupta and Bleuler, Lee and Yang, Nishijima)



## **A. II. Even more physics:**

### **Quantization under nonrelativistic kinematics**

- mathematical puzzles of perturbation theory (complex couplings)
- Lipkin-Meshkov-Glick made non-Hermitian (Scholtz, Geyer and Hahne '92)
- quasi-Hermitian IBM models in nuclear physics (Dyson's bosonic mapping)
- particle(s) in a complex potential (indefiniteness of the norm, new SUSY)
- problems with coupled channels (unbounded spectrum, new degrees of freedom)
- beyond quantum theory ( $\alpha^2$  dynamos in MHD)

**B.**  
**CONTEXT**

## B. I.

### Models with instabilities

- strong relativistic external fields (an abrupt complexification of  $E$ )
- too attractive central force (fall on the center, complexification of  $E$ )
- Jevicki-Rodrigues' limitations of SUSY (impenetrable singularities)
- Das-Pernice's' limitations of SUSY (imperfect regularization)
- 2D oscillators near cranking regime [ $\omega_x = 3, \omega_x = 2, \Omega \in (2, 3)$ ]

(W. D. Heiss and R. Nazmitdinov, 2007)

## B. II.

### Mathematics of the (in)stability

- (Dirac's Coulomb, etc): **antiparticles** or, in general, **physics** enters the scene
- (Bender-Turbiner '93): **analytic continuations** enter the scene (QES models)
- (Buslaev-Grecchi '93):  **$\mathcal{PT}$ -symmetry** enters the scene ( $V(x) = -x^4$ )
- (Bender-Boettcher '98):  **$\mathcal{PT}$ -symmetry** re-enters the scene ( $V(x) = x^2(ix)^\epsilon$ )
- (Znojil '02, Mostafazadeh '02): **pseudo-Hermiticity** enters the scene
- (Znojil '06): the concept of  **$\mathcal{PT}$ -symmetric toboggans** enters the scene

C.  
MATHEMATICS

## C. I.

### Analytic continuation?

- (Bender and Wu '69): complexified **couplings**,  $E_n(\lambda) =$  analytic function
- (Bender and Turbiner '93):  $V(x) = a^2x^6 - 3ax^2 =$  four eigenvalue problems
- (Cannata et al '98): exponential  $V(x) =$  infinitely many eigenvalue problems
- (change of variables): spiked  $V(x) =$  infinitely many eigenvalue problems

M. Znojil, Phys. Lett. A 342 (2005) 36 - 47

## C. II.

### $\mathcal{PT}$ -symmetry ?

- (Buslaev and Grecchi 1993): symmetry of  $H = p^2 + \omega^2 x^2 - x^4$
- (Bender and Boettcher 1998): symmetry of  $H = p^2 - (ix)^{2+\varepsilon}$  with  $\varepsilon \geq 0$
- (Mostafazadeh 2002): special case of  $\mathcal{P}$ -**pseudo-Hermiticity**
- (Znojil 2007): special case of **quantum toboggans**

J. Phys. A: Math. Gen. 39 (2006) 13325 - 13336

D.  
PSEUDOHERMITIAN QUANTUM  
MECHANICS



**the spin-model prelude once more:**

$$\begin{pmatrix} -1 & b \\ -b & 1 \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = E \begin{pmatrix} \phi \\ \chi \end{pmatrix}, \quad \mathcal{P} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

♡ **the pseudo-Hermiticity for pedestrians:**  $H^\dagger = \mathcal{P} H \mathcal{P}^{-1}$

♣ **a restored Hermiticity for pedestrians:**  $H^\dagger = \Theta H \Theta^{-1}$

ansatz for the “metric”:  $\Theta = \begin{pmatrix} a & b \\ b & d \end{pmatrix} \implies 2bT = -B(a+d)$

positivity of  $\Theta$ : eigenvalues  $\theta_{1,2} > 0 \iff b \neq 0 \neq a+d = 2Z$

reparametrization:  $a = Z(1 + \xi)$ ,  $d = Z(1 - \xi)$ ,

**family of acceptable metrics:**  $1 > \sqrt{\xi^2 + \sin^2 \alpha}$ ,  $0 \leq \xi < \cos \alpha$

**the most popular choice:**  $\xi = 0$

## D. I.

### Advanced definitions of pseudo-Hermiticity

- (textbooks): symmetry of  $H$  such that  $H^\dagger = \mathcal{P} H \mathcal{P}^{-1}$ , with  $\mathcal{P} = \mathcal{P}^\dagger$
- (Mostafazadeh 2002):  $\mathcal{P}$  need not be known
- (Solombrino 2002/ Znojil 2006):  $\mathcal{P}$  need not be self-adjoint  
( $\implies$  the “weak”/“strengthened” pseudo-Hermiticity)  
(M.Z., Phys. Lett. A 353 (2006) 463 - 468 and  
J. Phys. A: Math. Gen. 39 (2006) 4047 - 4061)

## D. II.

Typical example: ODE with  $x \in (-\infty, \infty)$

$$H^{(BB)}(\nu) = -\frac{d^2}{dx^2} + g(x) x^2, \quad g(x) = \omega^2 + (ix)^\nu, \quad \nu \geq 0$$

*Hermiticity replaced by  $\mathcal{PT}$ -symmetry:*

$$H = -\frac{d^2}{dx^2} + U(x) + iW(x) \neq H^\dagger, \quad U(x) = U(-x), \quad W(x) = -W(-x),$$

E.

ARE WE STILL *INSIDE* QUANTUM  
MECHANICS? YES, WE ARE!

## E. I.

### *Ad hoc* redefinition of the inner product

- discovery (nuclear physics)

(F.G.S., H.B.G. and F.J.W.H., Ann. Phys. 213 (1992) 74)

- rediscoveries: Znojil 2002, Mostafazadeh 2002, Bender et al 2002

- series of dedicated conferences:

( $\implies$  <http://gemma.ujf.cas.cz/~znojil>)

- various symbols for the “metric”:  $T$ ,  $\mathcal{PQ}$ ,  $\eta_+$ ,  $\mathcal{CP}$ ,  $\exp Q$ ,  $\Theta$

- the most recent review: C. Bender, hep-th/0703096.

## E. II. Quantum Mechanics on one page: Reality of spectra: condition *sine qua non*

- quintessence: **quasi-Hermiticity**  $H^\dagger = \Theta H \Theta^{-1}$ ,  $\Theta > 0$

- **explicit** formulae:

$$H = \sum_n |n\rangle \frac{E_n}{\langle\langle n|n\rangle\rangle} \langle\langle n| \text{ and } \Theta = \sum_n |n\rangle t_n \langle\langle n|$$

- **two** definitions needed:

$$H|n\rangle = E_n|n\rangle \text{ and } \langle\langle n|H = E_n\langle\langle n|$$

**Summary: All these results are freshly published:**

Miloslav Znojil,

Maximal couplings in PT-symmetric chain-models with the real spectrum of  
energies

J. Phys. A: Math. Theor. 40 (2007) 4863 - 4875.

(math-ph/0703070).